

CHAPTER I

INTRODUCTION

This chapter present background of research, statement of problems, research objective, research significance, research scope, conceptual framework, previous studies, and definition of key terms which become references in working on this research.

1.1 Background

In general, every person wants to life as quiet and pleasant without any disturbance and problems in his/her life. However, it cannot be denied that every person has some problems which cover up his/her live. Those problems can be caused by many things such as work, family, friend or even the environment. It depends on the conditions they are experiencing at the time. There is no wonder that those problems often make people so frustrated, despair, worry and frightened about something worst that are certain called anxiety. According to Freud (Corey, 2009:66) anxiety is an erective condition which motivates someone to do something, the function is for giving a warning about something dangerous, namely signal for ego that will be increase continuously if someone does not take the suitable action for reducing the anxiety. Anxiety should not be ignored since it can bring to anxiety disorder and also eternal unpleasant feeling. Freud himself divides the kind of anxiety into three parts, they are realistic anxiety, moral anxiety, neurotic anxiety. It can be classified by the type of conflict that happens between id, ego, superego, and external world.

Id like the leader of the kingdom (king or queen). Id as an absolute ruler, respected, and selfish. Id is psychological energy and instinct that press individual to fill basic needs such as eating and resisting pain or discomfort. Id is in the subconscious, there is no contact with reality. Ego as the prime minister who has the task to complete all the work connected with reality and responsive to desire. Ego is between the conscious and the subconscious. Superego refers

more to morality. Superego combines the values and morals of society learned from parents and others. Superego that recognizes good values and bad values as well as conscience. The role of superego like ministry that always give an advice about right and wrong values with considerately. Different from the others, external world (philosophy) the world consisting of all the objects and events which are experienceable or whose existence is accepted by the human mind, but which exist independently of the mind.

Based on the explanation above, the research select anxiety as a topic because anxiety does not only happen in human psychological life, but it also happens in the literary work that need to be comprehend and it often inspires literary work to be the main theme of a fascinating story to be discussed and analyzed. Literature is a typology or a depiction of life and imagination into the shapes and structure of language includes human and their condition that is life with all the feelings, thoughts and aims (Tarigan, 1995:164). Therefore, most literary works have the similar theme as the mental issue of human life with its psychological aspects. Between psychology and literature have a function in this life, both are dealing with the problems of individual humans and social beings, human experience is used as research material. Therefore, psychological approaches are considered important in the research literature.

One of the literary works that can describe the existence of anxiety is movie. Stamp & Raengo (2004:81) categorized movie as a literary work because movie is a creativity of a work of art. A movie also called a film or motion picture. Moreover, movie as a result of a creative, process of the filmmaker that combines various elements such as ideas, values ideas, value systems, and ways of life, beauty, norms, human behaviours, and technological (Trianto, 2013:13). Movie is also often considered as a very effective medium of communication to influence the community, because this communication tool uses audio visual elements, namely sound and live images. The existence of sound and images is certainly able to make movies tell or explain information well in a short duration. By watching the movie, viewers will be brought as if they were penetrating time and space so that they will indirectly be affected.

For some people, movies can make them laugh, while for others it can make them cry, or feel scared. This is closely related to the role of the characters in the movie. In A Glossary of Literary Terms, the characters are the person presented in a dramatic or narrative work, who are interpreted by the reader as being endowed with moral and dispositional qualities that are expressed in what they say the dialogue and what they do the action (Abrams, 1981:20). Various characters in the story are created by the writer's creativity and they have a different part in the story. Some movies tell the story of the main character who experiences anxiety, including the film *Black Swan* (2010) directed by Darren Aronofsky and *Whiplash* (2014) directed by Damien Chazelle.

Black Swan is one of the famous American movie, told with passionate intensity, gloriously and darkly absurd. Nina Sayers who is a protagonist character in the story. She is a young woman who wants to be a professional ballet performer. Nina's mother always thinks of her as a fragile and weak child. Due to the pressure from her mother, she grows up to become a very obedient child. However, when she feels her position as the swan queen will be replaced, Nina turns into a rebellious child. Her obsession to be a perfect ballerina makes her fear turn into anxiety. Another American movie that is known as the best is *Whiplash*, which tells about a student, named Andrew Neiman. He is the first year at the Shaffer Conservatory of Music School, which becomes the best music school in New York. Therefore, Andrew believes that he would become a great drummer in that school. He is an amateur drummer who has a dream to be a professional one. Unfortunately, Andrew's journey to be professional drummer is not easy. His story begins when he meets Terence Fletcher. Fletcher's style in teaching which is often caused Neiman stressed is making Neiman anxious. That condition forces him to practice hardly to become the best drummer.

With this understanding, the researcher select two these movies because the story of the movie is similar with the real life. *Black Swan* is one of the most difficult dances in ballet to be performed, while *Whiplash* is the most difficult music to play in jazz music. Between Nina Sayers and Andrew Neiman have a

lot of similarity but also different at the same time. As known that some people have an anxiety in their life as well as what happened to the characters in the two movies. Both of them are obsessed with being an artist, it gave rise to the fear that turns into anxiety. They do various ways to achieve their dreams and have recurring intrusive thoughts or concerns. It makes them nervousness, fear, apprehension, and worry.

The researcher would compare the main character anxiety in the two movies, center on a character that pushes themselves to the brink of madness in pursuit of artistic greatness and contain a parent that desires to curtail their success, as well as an abusive instructor. Thus, both become obsessed artists that drive themselves insane while pushing themselves toward perfection that give rise to a sense of anxiety in themselves. *Black Swan* to express Nina's obsessive desire to become a "perfect" dancer. However, newcomer Chazelle leans heavily on the editing of Tom Cross in *Whiplash* to communicate Andrew's drive to become a "perfect" drummer. With these similarities and differences, thus the researcher choose comparative literature with the title "The Main Character Anxiety in *Black Swan* (2010) and *Whiplash* (2014) Movies".

1.2 Statement of Problems

Based on the above explanation, anxiety can be caused by various factors in a person's life. Meanwhile Freud divided anxiety into three types: realistic anxiety, moral anxiety, neurotic anxiety. Therefore, the focus of this research is formulated into the following questions:

1. What kinds of anxiety experienced by Nina Sayers and Andrew Neiman in *Black Swan* and *Whiplash* movies?
2. What are the factors of anxiety experienced by Nina Sayers and Andrew Neiman?

1.3 Research Objective

In this research, analysis of the main character anxiety in *Black Swan* and *Whiplash* directly have several purposes, namely:

1. To find out kind of anxiety experienced by Nina Sayers and Andrew Neiman in *Black Swan* and *Whiplash* movies.
2. To find out the factors of anxiety experienced by Nina Sayers and Andrew Neiman.

1.4 Research Significance

1. Theoretically

The combination of those literary devices in this research will give several significant that are:

- a) The various differences and similarities that appear in the two objects that are *Black Swan* and *Whiplash* movies using comparative literature theory. It becomes significant to create an understanding of how different and how similar the main character anxiety of Nina Sayers and Andrew Neiman from their movies, and also create an understanding of comparative literature. To understand perspective from Nina Sayers and Andrew Neiman, of why they are different yet similar.
- b) The literary works that describe by theories of Sigmud Freud, Psychoanalysis about personalities which refers to Albertine Mandorop's book, *Psikologi Sastra*.

Researcher will use those theories as much as possible to make the analysis of *Black Swan* and *Whiplash* character easier. Those theories will help the researcher to explain the data from the movies and movies script from the two objects. The theories will be combined to create an understanding of *Black Swan* and *Whiplash* character.

2. Practically

a) Academic Readers

This research would give contribution for those who want to know the study of comparative literature, for those who want to use comparative literature as method to compare characters in movies. The researcher want to give more information and to explain what is comparative literature and how it can be applied in analysing characters from movies. Thus, the reader will find the combination of the theories mentioned before to analyse or to compare two or

more literary works, as example *Black Swan* and *Whiplash*. The research will help the reader to understand more about comparative literature in appreciating literary works including theory and objects. As for the researcher, this research will give more knowledge about comparative literature.

b) Public Reader

There are many people who love movies about life being an artist who gets success, riches, and love from everyone. Most people only watch films to entertain themselves, without understanding why the characters are built that way. This research will help the public reader to find out more about *Black Swan* and *Whiplash* character that they not only have strong talent and enthusiasm, but also internal problems they have as an artist. This research will create an understanding of *Black Swan* and *Whiplash* character for public reader to appreciate the character and the story itself.

1.5 Frame of Thinking

The topic of this study has both of character's life are related to psychology problems. One theory that discussed about psychology problems is psychoanalysis. According to Brenner (Minderop, 2016:11) Psychoanalysis is a scientific discipline which was begun some sixty years ago by Sigmund Freud. ... What we call psychoanalytic theory, therefore, is a body of hypotheses concerning mental functioning and development in a man. ... It is a part of general psychology and it comprises what are by far the most important contributions that have been made to human psychology today.

Psychoanalysis was founded by Sigmund Freud (1856-1939). Freud believes that most individuals were dominated by the unconscious. The unconscious is the source of our motivation and encouragements which hidden behind mind, most of all the feelings include in the unconscious mind are feelings that are threw and difficult to reached. Those feelings that are pressed will just stay alive under unconscious and it can appear anytime. This conscious mind always be in active, never dies, and always already to appear in life. It

seems that only conscious mind which appears in real life. However, if we discover deeper, it will be found that what appeared by conscious mind has a big relation with what caused by unconscious mind.

One of the important variables in almost of all personality theories is anxiety. Generally, the anxiety appear if the situation threatening someone. The threat can be in the form of physical, psychological, and various pressures that cause anxiety. This condition is followed by an uncomfortable feeling which is characterized by the terms worry, fear, unhappiness that we can feel through various levels. Freud put forward the importance of anxiety. It distinguishes between neurotic anxiety, moral anxiety, and realistic anxiety. These three kinds of anxiety are influenced by several factors namely; id, ego, and superego. According to Freud neurotic anxiety is born by a perception of the dangers of instincts. The factors of neurotic anxiety appears when there is dependence ego to the id. It is a kind of frightening feeling about id or instinct which cannot be controlled. This anxiety can be divided into three parts: anxiety which appears because an adaptation to the environment, irrational anxiety (phobia), and another frightening feeling because of nervous, stammers.

The factors of moral anxiety comes from conflict between ego and superego. It is constituted as worried of something that comes from heart voice. When someone is motivated to express instinctual impulse or has done something that contradictory with moral value of superego, it makes him feels so shame and guilt. It is said that what id wants and what ego does is conflicting with what superego's pretension, looking at that imperfect thing then superego will produce a guilt emotion in someone's feeling. Briefly, moral anxiety can be seen by someone's guilt emotion or shame. The last is realistic anxiety, it is an anxiety which caused by fear of something dangerous that threatens in the real life. This anxiety makes us feel so afraid to go outside from home because he feels afraid of something dangerous that might be threaten him/her. For example, fear of wild animals, earthquake, fire, etc. the factors of realistic anxiety appear when the young organism is often overwhelmed by fear because the ego has not yet developed until it has full control. (Hall, 2019:121)

According to Ratna (2003:343), there are three ways to understand the relationship between psychology and literature, namely: understanding the psychiatric elements of the author as a writer, understanding the mental elements of fictional characters in literary works, and understanding the mental elements of the reader. Basically, Psychology of Literature give attention to the psychological problems of the figures fiction contained in literary works. Psychology of Literature answers and gives a deeper understanding of psychological theories related to literary works, where much of the literary analysis is more inclined to analysis psychology, which is more focused on the analysis of the author's psychology of their literary work. In Minderop's book does not blame but explains that the researchers can analyze the psychology not only related to the author, but can also analyze the characters directly through the taken literary objects for the research because in analyzing literary works, researchers may not necessarily know in the personality of an author only from his biography alone and cannot be sure (which might ended just a hypothesis) whether a character in a literary work has a clear link with the author's past.

1.6 Previous Study

In this study refer to previous research to facilitate data collection. This previous research serves as a reference for relevant studies, it can require researchers to complete this research. Analysis methods used and data processing carried out by researchers are as follows:

In this research, researchers stated several previous studies. The first Oktaviani Arnanta Putri (2015), *Victor Frankenstein's Anxiety and Defense Mechanism as Depicted in Mary Shelley's Frankeinstein*, undergraduate thesis Brawijaya University. She found that Victor Frankenstein experiences anxiety after he creates his creature. Her experiences the realistic anxiety because of his creature's appearance. The neurotic anxiety also occurs on him because he often expects something dreadful to happen. And the last, moral anxiety occurs because of his guilty feeling for his family's death in order to reduce these anxieties; he uses repression, reaction formation, and projection The research is

using anxiety and defense mechanism theory to analyze the character in the novel using Sigmund Freud theory.

The second Garnis Oktaviani (2012), *The Personality of Nina Sayer in Darren Aronofsky's Black Swan Movie* (2010), undergraduate thesis Muhammadiyah University Surakarta. This previous research was taken because it relates to the research object/topic, *Black Swan*. Garnis took one of the main character, Nina Sayer and analyzed the major character based on the structural elements of the movie and psychoanalytic perspective. The psychoanalysis shows that ambition of Nina Sayers leads the affect of life such as the feeling of Id, Ego and Superego to achieve a dream. The ego of Nina Sayers can choose the decision and causes the egoism. The research is using id, ego, and superego by Sigmund Freud to analyze the character in the movie.

The third Mega Silvana (2016), *Personality Structure of Andrew Neiman in Whiplash (2014) Film*, undergraduate thesis State Islamic University Syarif Hidayatullah Jakarta. This previous study was taken because it relates to research object/topic, *Whiplash*. Silvana used psychoanalysis approach by Sigmund Freud related to personality structure, anxiety, and defense mechanism. Her analyze personality structure of Andrew Neiman as the main character, he has psychological problem named neurotic anxiety. Neiman anxiety comes from his effort to accomplish his life goal as the jazz drummer that have many obstacles in his process to achieve his goal. Neiman's anxiety also triggers another personality disorder that is obsessive compulsive disorder. His personality structure does not work in balance because id more dominant than ego and superego.

The fourth Wahyu Diah Sartika (2013), *Study of The Main Character of Black Swan Movie Script by Andres Heinz*, undergraduate thesis Diponegoro University Semarang. Sartika used psychoanalytic approach that is anxiety, characteristics of anxiety and hallucination. It can be revealed that the emergence of a major psychological conflict is triggered by pressure from other characters to the main character that makes the main character experience anxiety. The next psychological conflict occurs between the main character and

himself which is based on the same conflict, that is, the pressure that arises when the main character cannot give his best performance while dancing the role of black swan. The impact that occurs on the main character in dealing with conflicts that occur against him is by changing the attitude of the main character that also impacts on hallucinating actions.

The fifth Atun Farkhatun (2017), *Anxiety and Defense Mechanism of Rachel Watson in Paula Hawkins's Novel The Girl on The Train*, undergraduate thesis State Islam University Sunan Kalijaga Yogyakarta. The researcher took this thesis because the researcher has the same topic with her is anxiety. She describes type and factor of anxiety that is used by Rachel and explain the defense mechanism as a strategy reduce her anxiety. This research applies Psychoanalysis theory by Sigmund Freud to analyze the anxiety and defense mechanism that appear in Rachel's character. The results of the research carried out by Atun Farkhatun supported the research topics to be reviewed by the researcher.

The sixth Siti Herliana (2012), *Comparative Analysis of Three Character in Doyle's A Scandal in Bohemia and Johnson's Sherlock Holmes Film Script*, undergraduate thesis State Islamic University Bandung. The researchers and authors of this thesis use the same method in writing this research that is comparative literature that refers to Sapardi Djoko Damono. The aims of this research is to find out the differences and similarities of Sherlock Holmes, John Watson, and Irene Adler in Johnson's Sherlock Holmes the movie script.

1.7 Definition of Key Terms

To avoid misunderstanding in the term is used in this research, the writer clarifies the key terms as follows:

- a. Comparative literature is the study of text culture, that it is in interdisciplinary and that it is a concern with patterns of connection in literature across both time and space (Bassnett, 1993).

- b. Psychoanalysis is a scientific discipline that began around 1900 by Sigmund Freud. The theory of psychoanalysis is related to the functioning and development in a man (Minderop, 2010:11).
- c. Anxiety is an erective condition which motivates someone to do something, the function is for giving a warning about something dangerous, namely signal for ego that will be increase continuously if someone does not take the suitable action for reducing the anxiety (Freud in Corey, 2009:66).
- d. Personality focuses on the characteristic patterns of behavior and ways of thinking that determine a person's adjustment to his environment (Hilgard in Minderop, 2010:4).
- e. The characters are the person presented in a dramatic or narrative work, who are interpreted by the reader as being endowed with moral and dispositional qualities that are expressed in what they say the dialogue and what they do the action (Abrams, 1981:20).
- f. The main character is the character who the story is mostly about or the point of view of the story in a film or novel. It tells to the idea that the main character is going to be central to this story.